

Implicature is a discourse phenomenon

Bart Geurts

Thank you:

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The main goal of this talk is therapeutic

- Even if DRT awoke Rob from his Fregean slumber, one suspects that he is still a bit drowsy.
- Rob has absorbed the mentalistic DRT philosophy in much the same way as oil absorbs water.
- There is some evidence suggesting that Rob continues to believe in *propositions*.

but: It is never too late for mental healing.

“Local implicatures”

Sometimes, implicatures *seem* to arise within the scope of an operator:

- [1] Wilma believes that the PM was in Iran or Iraq.
 \leadsto She believes he wasn't in both countries.
- [2] This year, two ministers will visit several African countries.
 \leadsto They will not visit all of them.

Questions about local implicatures:

- What are the facts?
- How are we to explain them?

Two schools of thought

- **Defaultism/localism** (Levinson, Landman, Chierchia, Blutner)
 - Implicatures are triggered blindly, but fortunately they are cancellable.
 - Implicatures are local: they are associated with words.
- **Contextualism/globalism** (Sauerland, Spector, van Rooij & Schulz)
 - Implicatures only arise when required by the context.
 - Cancellation may occur, but it is less central to the theory.
 - Implicatures aren't local: they are inferred on the basis of utterances.

Real data

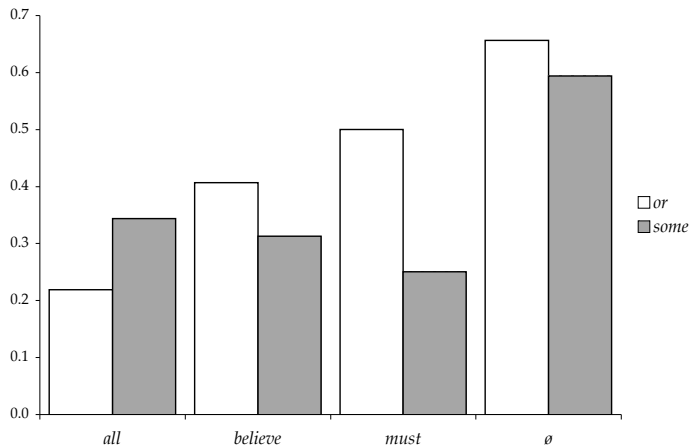
Local implicatures anywhere?

Experiment #1

premiss	conclusion
Fred drank coke <i>or</i> beer	He didn't drink both
Fred danced with <i>some</i> girls	He didn't dance with all the girls
All guests drank coke <i>or</i> beer	No guest drank both
Every boy danced with <i>some</i> girls	No boy danced with all the girls
Betty thinks that Fred drank coke <i>or</i> beer	She rules out the possibility that he drank both
Betty thinks that Fred danced with <i>some</i> girls	She rules out the possibility that he danced with all the girls
It's certain that Fred drank coke <i>or</i> beer	It's out of the question that he drank both
It's certain that Fred danced with <i>some</i> girls	It's out of the question that he danced with all the girls

Results

Experiment #1



Comparing embeddings

Experiment #2

- Modals:

 - telic*: Fred *has to* read Harry Potter 2 or 3.

 - epistemic*: Fred *must have* read Harry Potter 2 or 3.

- Attitude verbs:

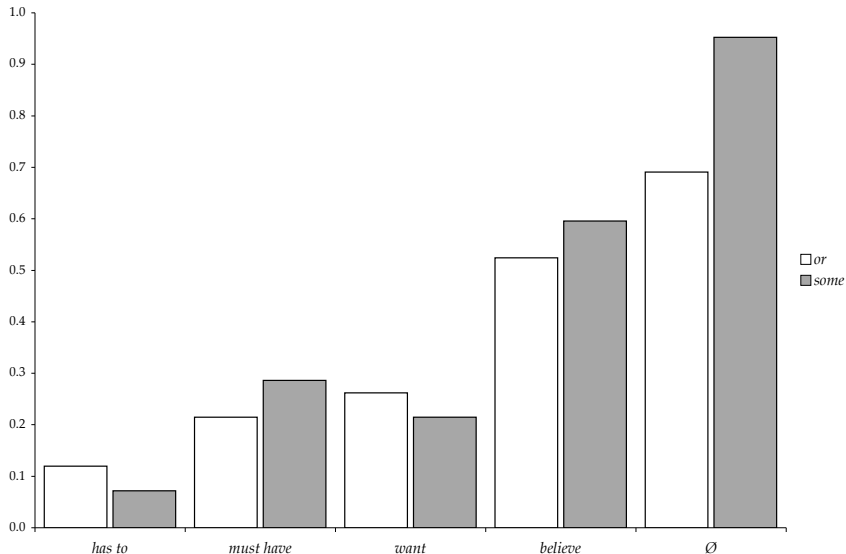
 - telic*: Betty *wants* Fred *to* read Harry Potter 2 or 3.

 - epistemic*: Betty *believes that* Fred read Harry Potter 2 or 3.

- For a defaultist account, there shouldn't be a difference between these two types of statement.
- On a contextualist view, there might be.

Results

Experiment #2



Implicatures are topic-dependent

Experiment #3

Q⁻ : Why is Fred so pale?

Q⁺ : What did Fred drink?

A : He had beer or wine. ↗? not both

Q⁻ : Has Betty ever been abroad?

Q⁺ : Which parts of northern Europe has Betty been to?

A : She has visited several Scandinavian countries. ↗? not all

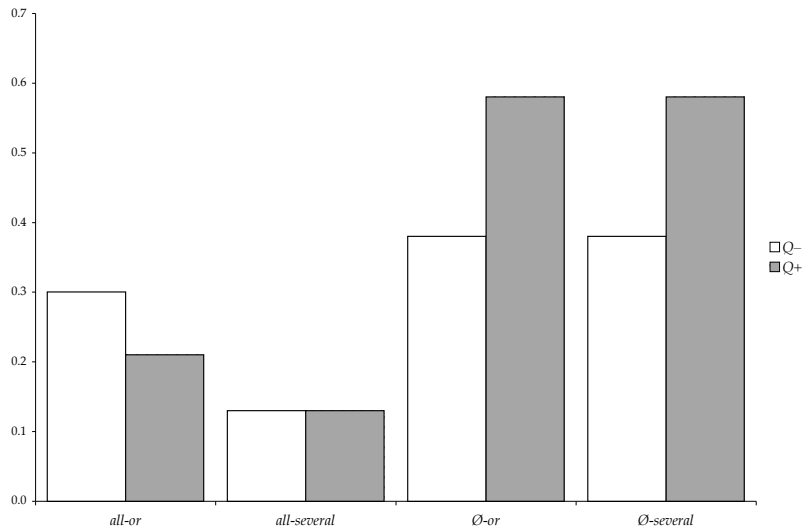
Q⁻ : I'm going to the supermarket, and would like to know if I should buy orange juice. What did people drink last night?

Q⁺ : What did each of our guests drink last night?

A : All guests had beer or wine. ↗? none both

Results

Experiment #3



Taking stock

- The rates at which scalar inferences occur decrease under embedding.
- The difference is often dramatic, sometimes less so.
- Hence, empirical evidence argues against a uniform mechanism for deriving scalar implicatures.
- Context plays a role in at least two ways:
 - discourse goals (topics)
 - embedding operators
- The only way of saving defaultism/localism is by neutralising its defining features.
- Contextualism/globalism is the way to go.
- Sadly, however, it has its share of problems, too.

The classical account of implicature

Scalar implicatures: the standard neo-Gricean model

Let ϕ be an utterance and ψ a stronger alternative:

- Primary implicature: $\neg K\psi$: speaker doesn't know that ψ .
- Secondary implicature: $K\neg\psi$: speaker knows that not- ψ .
- The secondary implicature requires a further assumption, e.g. that the speaker is “competent”:

If ψ , then the speaker knows that ψ .

- In this type of account:
 - Implicatures depend on the context in at least two ways:
 - ▷ Alternatives are context dependent.
 - ▷ The competence assumption holds in some contexts only.
 - Implicatures are derived from *utterances*, and in this sense the proposed explanation is globalist.

Examples

- “Some professors are smart.”
 - Primary implicature: $\neg K[\text{all professors are smart}]$
 - Secondary implicature: $K\neg[\text{all professors are smart}]$
- “You may take an apple.”
 - Primary implicature: $\neg K[\text{you must take an apple}]$
 - Secondary implicature: $K\neg[\text{you must take an apple}]$
- “Sue had more than two beers.”
 - Primary implicature: $\neg K[\text{Sue had more than } n \text{ beers}], n > 2$
 - Secondary implicature: *none* (Competence doesn't hold.)

Explaining local implicatures

- What is there to explain? We only have *some* evidence for strong implicatures in the scope of:
 - *believe* (but not *want*)
 - epistemic *must* (but not deontic *must*)
- The neo-Gricean account *explains* why so few implicatures arise under embedding.
- We surely don't want a general mechanism that uniformly generates strong implicatures in all embedded positions.
- Maybe *ad hoc* explanations are our best bet?

Ad hoc like so:

- On its epistemic interpretation, “must ϕ ” is roughly equivalent to ϕ , so “must ϕ ” and ϕ will tend to share implicatures.
- “*a* said ϕ ” will tend to share implicatures with ϕ , for obvious reasons.
- In many cases, “*a* believes ϕ ” suggests that *a* said ϕ , so “*a* believes ϕ ” will tend to share implicatures with ϕ .

Global vs. local

- The standard picture:
 - Defaultism/localism assumes that implicatures are triggered sub-sententially.
 - The globalist alternative is to suppose that implicatures are derived on the basis of sentence-sized units (propositions).
- There is a third option: viewing implicature as a discourse phenomenon.
- When interpreting discourse, hearers reason in terms of discourse referents, discourse segments (paragraphs), etc.
- There is no reason to suppose that implicatures are an exception to this.
- Two kinds of evidence for this position: sub-propositional and supra-propositional implicatures.

Sub-propositional implicatures

A problem for proposition-based accounts

- “A student of mine has read several of Chomsky’s papers.”
 - Primary implicature:
 $\neg K[\text{a student of mine has read all of C's papers}]$
 - Secondary implicature:
 $K\neg[\text{a student of mine has read all of C's papers}]$
- This doesn’t feel right.
- Defaultism/localism scores a point here:
 - A student of mine has read several but not all of C’s papers.

Rob to the rescue!

van der Sandt (1992)

- [1] I saw Jones with a lady_{*i*} last night.
 - ↯ I saw Jones with a woman who wasn't his wife.
 - ↪ She_{*i*} wasn't his wife.
- [2] There is a gas station_{*i*} around the corner.
 - ↯ There is a gas station around the corner and it is open.
 - ↪ It_{*i*} is open.
- “It turns out that all pragmatic information may entertain anaphoric links to the content expression it is associated with.” (van der Sandt 1992: 372)
- Sounds plausible, but what does it *mean*?

Deriving implicatures in context

Singular indefinites

- “A student of mine has read several of Chomsky’s papers.”
- DRS with alternatives:

x	
student(x)	
a	x read several of C’s papers
b	x read all of C’s papers

- Final DRS:

x	
student(x)	
x	x read several of C’s papers
\neg	x read all of C’s papers

Deriving implicatures in context

Plural indefinites

- “Sm students of mine have read several of Chomsky’s papers.”
- DRS with alternatives:

	X
	student(X)
a	$(x \in X)[x \text{ read several of C's papers}]$
b	$(x \in X)[x \text{ read all of C's papers}]$

- Final DRS:

	X
	student(X)
	$(x \in X)[x \text{ read several of C's papers}]$
	$\neg(x \in X)[x \text{ read all of C's papers}]$

Deriving implicatures in context

Free choice permission

- “You may take an apple or a pear.”
- DRS with alternatives:

p
... p ...
p :: [apple \vee pear]
a p :: [apple]
b p :: [pear]

- Final DRS:

p
... p ...
p :: [apple \vee pear]
p $\not\vdash$ [apple]
p $\not\vdash$ [pear]

Supra-propositional implicatures

Riddle!

- All the sheep are in the basement.
- Most of the alligators are in the living room, but some of them are in the kitchen.
- There are kangaroos in the kitchen, too.
- Wilbur is in the kitchen and he is not a kangaroo.

Question:

What kind of animal is Wilbur?

Wrong! He's a goldfish!

Exhaustivity implicatures

- Exhaustivity implicatures are based on the assumption that all relevant information is in, so what has not been said is not the case.
- Exhaustivity implicatures are closely related to scalar implicatures (Spector, van Rooij and Schulz).
- The “relevant information” may be contained in a single utterance, but also in a sequence of utterances.
- Hence, this type of implicature is not proposition-based, either.

Examples

[1] Q: Who of your friends has read *Lolita*? A: Barney.

↪ No other friend of A's has read *Lolita*.

[2] If you mow the lawn, I'll give you five euros.

↪ I will only give you five euros if you mow the lawn.

[3] If there is smoke in the basement, the red light goes on;
if there is smoke in the library, the yellow light goes on;
if there is smoke in the kitchen, the red light goes on.

↪ If the red light goes on, there is smoke in the basement or the kitchen.

☞ [2] and [3] are instances of the same pattern.

Conclusion

Guess what?

Implicature is a discourse phenomenon.