

# Strengthening Conditional Presuppositions

Robert van Rooij

Institute for Logic, Language and Computation

Amsterdam

# Presuppositions of conditionals

- If Bart talks about presuppositions, *his boss* is happy.
- *his boss*  $\rightsquigarrow$  Bart has a unique boss
- *his boss* is happy  $\rightsquigarrow$  Bart has a unique boss
- If Bart talks about presuppositions, *his boss* is happy.  
 $\rightsquigarrow$  Bart has a unique boss.
- How to account for this?

# Satisfaction Theory

- $[A](C) = \{w \in C \mid w(A) = 1\}$ , if  $A$  is atomic
- $[\neg\phi](C) = C - [\phi](C)$
- $[\phi \wedge \psi](C) = [\psi]([\phi](C))$
- $[\diamond\phi](C) = C$ , if  $[\phi](C) \neq \emptyset$ ,  $\emptyset$  otherwise
- $[\partial P](C) = C$ , if  $[P](C) = C$ , undefined otherwise
  
- $\phi \rightsquigarrow P$  iff  $\forall C : [\phi](C)$  is defined:  $\Rightarrow [P](C) = C$

# Predictions

- $(\phi_P \wedge \psi) \rightsquigarrow P$
- $\neg\phi_P \rightsquigarrow P$
- $\diamond\phi_P \rightsquigarrow P$
  
- $(\phi \wedge \psi_P) \rightsquigarrow (\phi \rightarrow P)$
- $(\phi \rightarrow \psi_P) \rightsquigarrow (\phi \rightarrow P)$
  
- WHY not always  $\neg\phi_P \rightsquigarrow P$  and  $\diamond\phi_P \rightsquigarrow P$ ?  
and HOW  $(\phi \rightarrow \phi_P) \rightsquigarrow P$ ?

# Denial and modal subordination

- $\neg\phi_P \rightsquigarrow$  Somebody presupposes  $P$   
Speaker can make clear that hearer makes a false presupposition: Denial (vd Sandt, 1990)
- Actually:  $\diamond\phi_P \rightsquigarrow \exists v \in R_{pr}(w) : \text{in } v, P \text{ is presupposed.}$
- Because normally  $\forall v \in R_{pr}(w) : R_{pr}(v) = R_{pr}(w)$  it follows that  $\forall v \in R_p(w) : \text{in } v, P \text{ is presupposed} \Rightarrow P \text{ is presupposed.}$
- But introspection doesn't hold after assertion of  $\diamond P$ .  
' $\diamond P. \diamond\phi_P$ ' is ok, presupposition of second sentence is satisfied.

## Strengthening $(p \rightarrow r)$ to $r$

- Beaver: sometimes we want conditional presuppositions:  
If Spaceman Spiff ..., he will be bothered by the fact that ....
- Karttunen & Peters: Truth conditional grounds  
 $(p \rightarrow r) \equiv (\neg p \vee r)$ , so  $\Box\neg p$  or  $\Box r$   
Appropriateness condition for conditional:  $\Diamond p \Rightarrow \Box r$   
But: why/when TC grounds for presuppositions?
- Soames and Beaver: Plausibility  
Strengthening because most plausible context.  
But: why/what makes one context more natural than other?  
(how could  $r$  be more plausible than weaker  $p \rightarrow r$ ?)

# Standard independence

- Intuition:  $p \rightarrow r$  can be strengthened to  $r$  if  $p$  and  $r$  are mutually independent.
- 1.  $\diamond(p \wedge r)$   
2.  $\diamond(p \wedge \neg r)$   
3.  $\diamond(\neg p \wedge r)$   
4.  $\diamond(\neg p \wedge \neg r)$
- But  $\Box(p \rightarrow r) \equiv \Box\neg(p \wedge \neg r) \equiv \neg\diamond(p \wedge \neg r)$   
 $\Rightarrow p$  and  $r$  cannot be independent from each other.
- Different (and weaker) notion of independence?

# Independence of issues

- Claude Shannon (1948):  $Q$  orthogonal to  $Q'$   
 iff  $E(Q \sqcap Q') = E(Q) + E(Q')$   
 iff  $\forall q \in Q : \forall q' \in Q' : P(q \wedge q') = P(q) \times P(q')$
- David Lewis (1988):  $Q$  orthogonal to  $Q'$   
 iff  $\forall u, w \in W : \exists v \in W : \langle u, v \rangle \in Q^R$  and  $\langle v, w \rangle \in Q'^R$   
 iff  $\forall q \in Q^P : \forall q' \in Q'^P : q \cap q' \neq \emptyset$
- Proposal:  $p$  independent with  $q$  in context  $C$  iff  $[p?]^C$   
 orthogonal to  $[q?]^C$  (in Lewis's sense), where  
 $[p?]^C = \{\{v \in C : v \models p \text{ iff } w \models p\} : w \in C\}$ .
- Notice that if  $C \subseteq [p]$ , then  $[p?]^C = \{[p]^C\}$ .



# Is weaker notion of independence

- $p$  independent with  $r$  in context  $C$  iff  $[p?]^C$  orthogonal with  $[r?]^C$  (in Lewis's sense)
- Is equivalent with notion proposed by Michael Franke to account for relevance conditionals
  1.  $(\Diamond p \wedge \Diamond r) \rightarrow \Diamond(p \wedge r)$
  2.  $(\Diamond p \wedge \Diamond \neg r) \rightarrow \Diamond(p \wedge \neg r)$
  3.  $(\Diamond \neg p \wedge \Diamond r) \rightarrow \Diamond(\neg p \wedge r)$
  4.  $(\Diamond \neg p \wedge \Diamond \neg r) \rightarrow \Diamond(\neg p \wedge \neg r)$
- This is a *weakening* of standard notion of independence.

## Strengthening $(p \rightarrow r)$ to $r$

- Assertion:  $p \rightarrow q_r$
- Presupposition  $p \rightarrow r \quad \Rightarrow \quad \Box(p \rightarrow r) \equiv \neg\Diamond(p \wedge \neg r)$
- Assume independence:  $\neg\Diamond(p \wedge \neg r) \Rightarrow (\neg\Diamond p \text{ or } \neg\Diamond\neg r)$   
 $\Rightarrow \neg\Diamond p \text{ or } \neg\Diamond\neg r$
- Appropriateness condition:  $\Diamond p$

$$\Rightarrow \neg\Diamond\neg r \quad \equiv \quad \Box r$$

# Other Independence

- $p$  independent with  $q$  iff  $P(p \wedge q) = P(p) \times P(q)$
- This is equivalent with  $P(q/p) = P(q)$  (iff  $P(p/q) = P(q)$ )
- Assume  $p \rightarrow r$  is presupposed, i.e.  $P(p \rightarrow r) = 1$
- Jackson (1987): Robustness. This should even be true if  $p$  turns out to be true:  $P(p \rightarrow r/p) = 1$
- Notice  $P(p \rightarrow r/p) = \frac{P(p \wedge (\neg p \vee r))}{P(p)} = \frac{P(p \wedge r)}{P(p)} = P(r/p)$
- By independence:  $P(r/p) = P(r) \Rightarrow P(r) = 1$

# First imaginable objection

- If the problem was *difficult*, then Morton isn't *the one who* solved it.  $\leadsto$  Somebody solved the problem.
- If the problem was difficult, then somebody solved the problem.
- Problem: if problem was easy, it is more likely that somebody solved the problem  $\rightarrow$  not independence, still presupposition.
- If the problem was *easy*, then .....
- Solution(?): clefts presupposes a question *Who solved the problem?*, or give rise to a uniqueness presupposition.

## Second imaginable objection

- What if the speaker believes the antecedent to be false?  
Because  $\neg\Diamond p, \Rightarrow (\neg\Diamond p \text{ or } \Box r)$  satisfied,  
 $\Rightarrow$  strengthening to  $\Box r$  not predicted.  
But the unconditional presupposition should still come out:
- If that is John, John *stopped* smoking.  
But as a matter of fact that is not John.
- Reply: confusion between belief/knowledge and presupposition  
Speaker believes  $\neg p \neq$  it is presupposed (by speaker) that  $\neg p$ .  
In fact, if this were so, the rider would be uninformative.  
So,  $\neg p$  *has* to be compatible with what is presupposed.  
This is enough to ensure strengthening.

## Third imaginable objection

- What if it is *presupposed* that the antecedent is false?  
Because  $\neg \diamond_{presup} p$ , predict that presup not strengthened.  
But the unconditional presupposition should still come out:
- If that were John, John would have *stopped* smoking.
- Reply:  $p$  has to be compatible with the context in which the antecedent of the counterfactual is evaluated. This context is something like  $C' = C \cup C_p^*$ .  $p$  and  $r$  independent in this context. We conclude  $\Box_{C'} r$ . Because  $C \subseteq C \cup C_p^*$ , it follows that  $C \models \Box r$ , and thus that  $r$  is presupposed.

# Final imaginable objection

- $Know(j, p) \rightsquigarrow p$
- John knows that if the problem was difficult, then somebody solved it.
- Satisfaction theory predicts:  
If the problem was difficult, then somebody solved it.
- Problem: why shouldn't we strengthen it to 'somebody solved the problem'?
- Reply: independence + Grice helps!

# Explaining reply

- Don't *assert*  $p \rightarrow q$  if  $p$  and  $q$  are taken to be independent. If we would, it followed that  $\neg\diamond p$  or  $\Box q$ .
- Either appropriateness condition  $\diamond p$  is false, or (with Grice) we should have claimed shorter  $q$ .
- For similar reasons, don't assert  $Know(j, p \rightarrow q)$  if John is presupposed to take  $p$  and  $q$  to be independent.
- If  $Know(j, p \rightarrow q)$  asserted  $\Rightarrow$  John is *not* presupposed to take  $p$  and  $q$  to be independent. Probably, because *we* don't presuppose this. But then strengthening cannot go through.



# Conclusion

- I finally understand why and when vdSandt's unconditional presuppositions result.
- It is because  $p$  and  $r$  are taken to be independent.
- K&P's and S&B's proposals can be understood in terms of it,
- and the imaginable objections disappear.
- I am sure Rob agrees.